# Patent Assignment Abstract f Title

NOTE:Results display only for issued patents and published applications. For pending or abandoned applications please consult USPTO staff.

**Total Assignments: 2** 

\_Patent #: 6264922 Issue Dt: 07/24/2001 Application #: 08948216 Filing Dt: 10/09/1997

Inventors: RAY W. WOOD, LAN DECASTRO, H. WILLIAM BOSCH

Title: NEBULIZED AEROSALS CONTAINING NANOPARTICULATE DISPERSIONS

Assignment: 1

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: NANOSYSTEMS, L.L.C. Exec Dt: 10/01/1998

Assignee: ELAN CORPORATION, PLC

LINCOLN HOUSE, LINCOLN PLACE

**DUBLIN 2, IRELAND** 

Correspondent: FOLEY & LARDNER

MICHELE M. SCHAFER WASHINGTON HARBOUR

3000 K STREET, N.W., SUITE 500 WASHINGTON, D.C. 20007-8696

Assignment: 2

Reel/Frame: 010797/0501 Recorded: 05/05/2000 Pages: 17

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: ELAN CORPORATION, PLC Exec Dt: 10/01/1998

Assignee: ELAN PHARMA INTERNATIONAL LIMITED

WIL HOUSE, SHANNON BUSINESS PARK

SHANNON, CO. CLARE, IRELAND

Correspondent: FOLEY & LARDNER

MICHELE M. SCHAFER 3000 K STREET, N.W.

SUITE 500

**WASHINGTON, DC 20007-8696** 

Search Results as of: 02/03/2004 10:51 AM

002.2973 7.1

Michele M. Schafer

Name of person signing

PATENT REEL: 010797 FRAME: 0501

Total number of pages including cover sheet, attachments, and document: 17

#### ASSTRUCTOR AGREDOUS

ASSIGNMENT AGRESHMY among Rian Corporation, pic ("Blam") and Wien Pharms (International Limited, a wholly named subsidiary of Flam ("WYIL"). For consideration consisting of an amount in cash equal to the Purchase Price (as defined in the Agreement) less the sum of the amounts paid by Elan Pharms equational Research Corp. ("BPRC") we Blam in consideration for this assets separately conveyed blue the Amounts paid by EFEC to Manosystems L.L.C. for certain lessents improvements, the parties hereto agree that, affective immediately after the closing of the transactions referred to in the Agreement ruferred to below. Elan assigns to EPIL all of Elan's right, title and interest in and to all intellectual Property included in the Acquired Assets (other than the rights to devalop and commercialise a nanocrystal version of Paclitanal) in accordance with the terms of the Asset Purchase Agreement (the 'Agreement') by and among Nanosystems L.L.C., Reseman Fodak Company and Elan.

Capitalized terms not defined herein shall have the meaning ascribed to them in the Agreement.

(Signature page follows)

TO-FOLEY AND PAFENT Page 06
REEL: 010797 FRAME: 0502

From-

IN WITHESS WHEREOF, the parties hereto have expented this Assignment Agraement as of the 1" day of October, 1998.

PLAN CORPORATION, PLC

Density Stancy

Title:Chairman of Board

Director of Elan Corp., pl.

We hereby assume the rights, title and interest specified above.

elan marka ingermatichal Limited

Rane: Title:

DESCROP

PATENT REEL: 010797 FRAME: 0503



## ASSET PURCHASE AGREEMENT

by and between

NANOSYSTEMS L.L.C.,
EASTMAN KODAK COMPANY

and

ELAN CORPORATION, PLC

Dated as of August 21, 1998

NY12328: 97445.11



**REEL: 010797 FRAME: 0504** 



#### SCHEDULES TO DISCLOSURE STATEMENT

Schedule 1.1(a)	Equipment
Schedule 1.1(b)	NanoSystems Real Property
Schedule 1.1(c)	NanoSystems Intellectual Property
Schedule 3.8	Capitalization
Schedule 3.10	Absence of Change
Schedule 4.5	Consents
Schedule 4.6(a)	Intellectual Property Inflingements
Schedule 4.6(b)	Intellectual Property Restrictions
Schedule 4.8(a)	Material Contracts
Schedule 4.8(b)	Contracts: Breaches/Events
Schedule 4.8(c)	Certain Contracts
Schedule 4.9	Manufacturing Rights
Schedule 4.10	Administrative Actions
Schedule 4.13(a)	Benefit Plans
Schedule 4.13(f)	Payments Resulting from Transactions
Schedule 4.14(b)	Environmental
Schedule 4.17(c)	Commitments Since 12/31/97
Schedule 4.17(g)	Severance Payment
Schedule 4.20	Undisclosed Liabilities
Schedule 4.21	Insurance
Schedule 4.22	Year 2000 Compliance
Schedule 5.2	Commitments Prior to Closing
Schedule 5.5(a)	Severance Plan
Schedule 5.5(b)	Kodak Employee
Schedule 6.1(c)	Consents

EXHIBIT A Warrant Agreement

Schräule 11(C)

NY 12528: 97445.11

REEL: 010797 FRAME: 0505

# SCHEDULE LLe

MAY 0 5 2000

NanoSystems lat			
	and Granted agest 20, 199		
Title of Invention	Country	Patent/Applu. No.	Status
Acqueuls Containing Sections thaseone	U.S.	Fatest No. 5,747,001	Grand
	Cases	Appla. No. 2.213,665	Panding
	Barege	Apple. No. 96506367.1	Pending
	Japan	Apple, No. 8-525798 Apple, No. 667948_16	Pendine
	. 0.2	Apple. No. 68/948216	Pendlag
	Canada	Apple No. 2,213,638	Produce
	Lurope	Apple, No. 949043443	Funding
	Japan	Apple. No. 8-323798	Produce
Nunceryoniline Permulations of Human immundeficiency Virus (HEV) Protense highliners Using Cellulonic Surface Stabilizers and Mattands of Making Such Permulations	U.K.	Apple. No. 01/190,602	Pending
	भटर	PCT/UE99/14097	Pending
Pharmaceuten Compessions Containing Polyaltylene Black Copolymers Which Gol at Physiological Temperature	U.K.	Patrick No. 5,545,188	Granued
,	U.S.	Falunt No. 5,705,194	Graphed
	Casade	Apple, No. 2,213,463	Pending
	Burepe	Apple. No. 96907083.8	Panding
	Japen	Appla. No. 8-325803	Pending
Nanoperticles Containing the R(-)  Engationer of Inspection	U. K.	Fatent Na. 5,718,919	Granted
Fermulations of Oral Gastrointsetinal Therapeutic Agents in Cambination with Pharmaccutically Acceptable Clays	U. £.	Fatant No. 5,545,108	Granted
Microprocipication of Nanoparticulate Photosocopical Agents	U. R.	Potent No. 5,560,932	Granued
Tables of Ulara Small Particles	U. S.	Fatest No. 3,503,723	Granica
Nanoper Scalate Diagnostic Mixed Carbonie A shoulder on X-Ray Cointrast Agents for	U, \$.	Faterst No. 5,643,352	Grenium
Bland Peol and Lymphatic System Imaging	U. E.	Paterat No. 5,472,683	Granted
	U.K	Patent No. 5,573,749	Ometed
	03.	Fatnet No. 5,322,679	Grastes
Iodinated Arcylosy Esters	0.8.	Palmet No. 5,466,433	Granted
Mathod of Cirinding Pharmacoutical	0.8.	Palent No. 5,518,187	(Iranied
Substances .	Arguntina	A17/4, No. 326,206	
•	Australia	Falunt No. 440152 Appla, No. 2,107,400	Pending
	Ciesde	Appta. No. 2,107,400 Painst No. NI-69476	Granted
	Taiwaa	Apple. No. 93/2277	Pending
	Republic	Apple No. 93202795.6	Pending
	Finland	Patant Appla. No. 934320	Pending
	PERMANEN	1	

PATENT REEL: 010797 FRAME: 0506

Pending	and Grante lugart 20, 19	98	
Title of Invention	Country	Patent/Appla. No.	Status
whole of Grinding Pharmacounical	Hungary	Patrot No. 31002	Crassed
	Japan	Apple. Na. 182497/31	Fending
	South Keres	Apple. No. 2226431	Pending
	Malaysia	Patent No. MY-109/415-A	Grate
	Meases	Apple, No. 93-6443	Pending
	New	Facunt No. 241113	Granta
	Zenland		Control of the last of the las
•	Namey	Apple. No. 53-45715	Pendine
	Philippines	Apple, No. 83A7839	Pending
	Russia	Fatets/Apple, No. 93/52299	Finding
	Slovek Republic	Applic No. PV [30]/93	Feeding
	Uzra	Apple, No. 93/3406	Pending
F (	Venezale	Apple, No. 148493	Panding
led upersible Nansperticulate Film Matrices With Protestive Overwells	U.A.	Patent No. 3,373,783	Granta
	Canada	Apple. No. 2,212,403	Pending
	Europe	Apple No. 96904612.7	Fending
	Japan	Apple No. 8-323516	Pending
Minated Arometic Compounds	U.S.	Palest No. 3,384,107	Ormand
lethod in Radiese Particle Size Growth turing Lyophilization	U.J.	Patent No. 3,302,401	Granted
estimated Benneyl Acrtals and Kelals for X- try langing	U.S.	Faterst No. 5,330,739	Grantad
ornalistans of Compounds as Imagasticulate Dispursions in Digastible Dis or Fatty Acids	U.A.	Fates No. 3,371,536	Greated
	U.E.	Patent No. 5,560,531	Granted
	Catenda	Amala No. 2 No. 1 No.	Pending
	Europe	Apple, No. 96504303	Pending
	Japan	National Plans Apple, of PCT/US96/01433	Fending
las of Non-Louis Cloud Peint Modifiers to Alaimine Nacoperticulate Aggregation Pering Sterilisation	U.S.	Patent No. 3,345,765 .	Granted
he Use of Tylesupel as a Nepeparticle inhilitor and Disperson	U.S.	Patent No. 5,429,324	Granted
	Arrentina	Apple. Na. 324,175	Pending
	Australia	Palegt No. 643449	Created
.	Carada	Apple. No. 2,168,192	Pending
1.13	Talwas	Apple, No. 82 (08760	Fending
	Cureps	Apple, No. 81263343.7	Pending
	Figland	FoliApple No. 10/1913 FoliApple No. 19361594	) and tag
	Humany	Apple No. 19107674	Pending
		A-1 No. 210791/41	Pending
	) a pesa	Apple. No. 280791/93	Pending.

## SCHEDULE IJ.

NanoSystems II	tellectual Pro	perty Portf lie of	
Pending	and Grante	d Patents	
	August 20, 19	<b>)2</b>	
Tkle of Invention	Country	Patent/Appin. No.	Status
The Use of Tylouspel as a Nanoparticle Stabilises and Disperses	Malayeis	Apple. No. Pl 9302636	Greated
	New Zesland	Falest No. 248726	Granted
•	Nerway	Apple, No. 7934474	Punding
	Philippines	Patrat No. 29917	Grantes
	Resele	Appla. No. 93034941,00	Panalina
	Slovek Republis	Apple. No. PV 1434-93	Produc
	Utrate	Appla, Na. 43601747	Pending
adiasted Areyloxy Carbon smides	UL	Fremi No. 5260,478	Grantes
	Argentina	Apple No. 176 ft	Pending
	Australia	Patral No. 643443	Greater
	Canada	Apple. No. 2,107,397	Product
·	Tairea	Paleal No. NJ-07/317	Gran
	Hungary	Pat/Apple. No. P\$303475	Hungary
	lene	Appla. No. 93/107054	Grantes
	Japan	Apple. No. 230915/93	Pending
•	South Kares	Apple: Nu. 93/21134	Feeding
	Maleysia	Patent No. MY-109-203A	Gran
	New	Fateut Na. 24845)	Cleanles
	Philippines.	Petent No. 30136	Granted
	Ultrains	Apple. No. 93/3409	Pending
	Venezuela	Fateret No. 138493	Granted
(-Ray Contract Compositions Usefu) in Aedical Imaging	UÆ	Patent Na. 5,431,393	Ciranted
	U.S.	Fatest No. 5,318,767	Grand
	Etteopa	Appla: No. #2200152,4	rending
Jee of Purified Surface Modifiers to Prevent Particle Aggregation During Serilization	UL	Fatere No. 5,352,459	Graphed
	Argentino	Apple, No. 154,525	Pending
	Jaiwan	Apple, No. 82109723	Pending
	Jepas	Apple, No. 286472/93	Pendine
	Letth Kerns	Apple, No. 93/2333	Pending
	Malayeta	Ame. No. P1 9302339	Pending
	Medies	Apple No. 937381	Pending
	New Zoulead	Faimi No. 250116	Circuted
	Philippines	Apple, No. 47231	Pending
	Xves	Appla. No. 93/35885	Pending
	Elevak Republic	Apple: No. 3V 1416/93	Pending
formulations of Nanoparticulate Naprozen Tablets	U.S.	Apple. No. 01/100,006	Pending
1 tolea	FCT	PCT/US98/03388	Pending

THE SHEET AND SECTION OF A STREET AND A STREET AND A STREET ASSESSMENT OF A STREET OF A STREET ASSESSMENT ASSESSMENT OF A STREET ASSESSMENT ASSESSMENT OF A STREET ASSESSMENT ASSES

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#### SCHEDULE 1.1.c

NanoSystems Intellectual Property Portfolio of Pending and Granted Patents August 20, 1998  Title of Invention Reduction of intervenously Administered Nanoparticulate Formulation Indused Adverse Physiological Resettions  Canada Secretary  Canada Secretary  Larope Secretary  Larope Secretary  Description of Portfolio	Status Fending (Allowed) Fending Fending Fending
August 20, 1998  Title of Invention Country Patent/Apple. No.  Reduction of introcuously Administrated  Numeraticulate Formulation Indused  Adverse Physiological Resettions  Canada Reset on PC77US96/15300	Fending (Allowed) Fending Fending
Title of Invention Country Patent/Apple. No.  Reduction of intravenously Administrat Nesseparticulate Formulation indused Adverse Physiological Resettions  Canada Reset on PCT7US94/15300	Fending (Allowed) Fending Fending
Reduction of Introvenously Administered Nanopasticulate Formulation Indused Adverse Physiological Resettions  Canada Seed on PC77US96/15300	Fending (Allowed) Fending Fending
Nesoperticulate Formulation Induced Adverse Physiological Resettions  Canada Board on PC77US94/15300	(Allowed) Fending Fending
Cenada Bared on PC77US96/15300	Finding Finding
PCT/U896/15300	Pending
Emper Land on	
MCT/UBIG/153co	Pending
Jupan Bund on PC7/US94/15300	-
Property of Property 2-Lay Contract	Granted
Compositions Contribing Nanoparticles	~.e./1000
Busylene Chief-Ethylane Chiefe Block Capalymur Sinfacteum and Sinfaffiner Contings for Nanoparticle Compositions	Cristad
Argentins Apple. No. 132,233	
Australia Apple No. 28240393	Proding
Crasds Apple No. 24 51 501	Procing
Taferea Appla, No. 84001 07531	Pracing
Europe Apple, No. 55222200 D	Frading
Finance Pat/Apple, No. 14714	Penchips
larnel Patent/Apple, No. 95/1   4154	Pending
Japan Apple, No., 503303/96	Pending
Malayala Apple, No. 21 5301774	Pending
Nerway Apple, No. 7 945433	Pending
Philippines Apple, No. 50209	Pending
Venezuela Apple, No. 95/1026  Method of Crinding Pharmacoutical U.S. Apple, No. 02481,339	Pending
Substances	Pending (Alleved)
Argentina Appla, No. 33 1,834	Pending
Canada Apple, No. 2,190,965 Talvan Apple, No. 84104440	Pending Pending
Europe Apple, Na. 83918EU.A	Ponding
Israel Apple, No. 15/113433	Allerend
James Amele, No. 536352/95	Ferning
Maleyria Aunin, No., 71,950 1374	Pending
Philippings Apple, No. 50573	Fending
Venamula Apple. No. 0833-93	Pending.
Ray Cantrast Apusts for Blood Poni and	Granted
Lymphatic System Imaging Method of Preparing Stable Drug U.S. Patent No. 5,534,279	Granted
Nemopartiales  Ne. 5,369,448	Granel
Surfaciant as Stabilizer Costings for	
Nagaparticle Compositions U.S. Patrol No. 5,254,416	Ornered
THE FARMEN PLANTS AND THE PARTY No. 5,328,404	Granted
Judinated Arcylotty Retones U.S. Patent No. 5,418,133	Granted

#### SCHEDULE 1.1.e

NanoSystems Intellectual Property Portfolio of
Pending and Granted Patents
Ammid 56 1866

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	Lugast 20, 19	98	
Title of lavention	Country	Patent/Appla. No.	Status
phi Purpuse Diagnostic/Therepeutic Agent Wing a Tri-Iodinated Bermoyl Group thad to a Couracia	U.R.	Patent No. 5,445,130	Granted
phoved Formulations of Oral photointestinal Digmentic X-Ray Contrast posts and Oral Gestralatestinal propertic Agents	U.S.	Futernt No. 3,621,981	Oranied
Y.	U3.	Apple No. 01/815,348	Pending
proportion is to Lodipamide Derivatives for the National Agents	U.S.	Femal No. 5,321,218	Granted
anoparticulate Diagnostic Districtory Easts Buy Contrast Agents for Blood Pool and Impatis System Imaging	U.3.	Fatent No. 3,525,328	Greated
trium Salt Formulations Stabilized by pp-lanic and Anionic Stabilizers	U.S.	Palesst No. 3,353,637	Graphed
eter Inselvbis Non-Magnetic Mangenere Hisles as Magnetic Resonance Manorement Agents	0.4.	Parent No. 3,401,402	Granted
evel Formulation for Varioperticulate X- by Blood Fool Contract Agents Using igh Molecular Weight Non-Ionia whetenis	U.E.	Faismi No. 5236,352	Granted
	U.S.	Pasent No. 5.47.710	Crantal
pe of Ionic Cloud Point Modifiers to Event Particle Aggregation During willigation	U.S.	Patent Navi C. 19 (26)	Granta
er of Charged Phospholipide to Reduce ordels Augregation occas of Propering Therapeutic	U.S.	Fatent No.5,470,383	Granted
respections Containing Newsperticles	U.S.	Patent No. 3,510,118	Granted
Anoperticulate NSALD Ferroulations	U.S.	Palmat No. 5,518,758	Granted
	Carrada	Apple. No. 2,212,779 Apple. No. 50903181.2	Pending
	Bureps	(to be completed in all EP designated countries)	(Allowed)
	Japan U.S.	Apple. No. 8-524244 Patent No. 5,540,932	Pending
eroprecipitation of Nanoperticulate armaconical Agents			Grentes
icroprecipitation of Namepericulate ormoconsical Agents Using Surface sive Maserial Derived From Similar armacontical Agents	U.S.	Patent No. 4716,642	Granted
Microprocipitation of Nanoperticulate namecousies! Agents with Crystal Growth Militare	U.S.	Patent No. 5,643,331	Granted
Micropacipitation of Nanoparticulate armaceutical Agents with Crystal Growth addition	U.S.	Falent No. 5,642,123	Granted
rises Medified Drug Nasoparticles	U.S.	Parent No. 5,143,684	Grasted
	Argentine	Apple. No. 92/321681	Pending

#### SCHEDULE 1.1.e

Pendia	g and Grante		
	August 20, 19	98	
Title of Invention	Country	Patent/Apple. No.	Status
surface Modified Drug Nanoparticles	Australia	Patent No. 654836	Grand
	Canada	Apple No. 2039432	Panding
	Chile	Apple. No. \$2,674	Peedled
	Columbia	Patent No. 34433	Gran
	Europe	Apple: No. 92208 [51.2 Publish No. No. 499299 Fet/Apple: No. 91023]	Penny
	Halad	Pat./Apple. No. \$18331	Pending
_	Ниарту	TOLIAPPIL No. 33-236	Franklas
/ A	Ireland	Apple No. 93-0217	Product
	lame	/stast/Apple. No. 100754	Grate
	Japan	Apple. No. \$1/1/215	Teading
	Joods Xores	Apple. No. 52/1077	Pending
	Maleyela	Yelent No. MY-108134-A	Conntrol
• .	Marico	Fa test No. 176345	Created
·	New Zouland	Febral No. 241362	Cleaning
	Nerway	Apple, No. 52-00334	Allowed
	Philippines	Patent No. 29069	Greated
	- Alexan	Fat. No. 2064333	Granted
•	Singapore	Applia. No. 9604361-3	Pending
	Talwan	Falest No.; NJ-071313	Created
Surface Modified Anticoncer Nanoparticles	U.S.	Patent No. 5,454,623	Granted
	U.S.	Petent No. 3399363	Grand
	Aryentine	Apple No. 33/111,200	Pending
	Australia	Petent No. 675432	Orașie
	Canada	Apple, No. 2094342	Pending
	Cresh Republic	Apple. No. PV 131693	Prodiag
	China	Apple. No. 93 (05050.9	Fanding
	Lurope	Appla, No. 53201883,1	Punding
	Plaland	FallApple, No. 933040	Pending
•	Hungary	PatJApple, No. F9301917	Pending
	larse	FatenVApple No. 106191	Pendag
•	Japan .	Apple, No. 93/158308	Pendag
	South Kares	Apple No. \$2/12267	Pending
	Malaysis	Apple, No. 21 9301273	Funding (allowed)
	Mexico	Apple No. 912930	Pending
	New Zesland	Fatest No. 248043	Granted
	Nerway	Apple. No. 73-2A03	Peralbag
, i	Philippines	Februs No. 30104	Orested
A .	Russia	Apple. No. 33046256	7 racing
	Shilabora	Apple, No. 9805605-6	Pending Pending
	Slovak Republic	PV 0681-F3	
•	Taiwas	Fatent No. 10-479294	Circuited
	Ulura fore	Apple. No. 93003637	Pending

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REEL: 010797 FRAME: 051

Title of invention	August 20, 19 Country	Patent/Apple. No.	
urface Mudified Anticanon Nanoparticles	Venezuela	Pat/Apple. No. 0349-93	Status
Surface Modified NEATO Nanoparticles	UI	Frent No. 5,332,160	Pending
The state of the s	Australia	7 stept No. 677/83	Grand
	Austria	European Patent	Granie
		No. 644 755	National Registration
	Belgings	Haropoin Patent No. 644 755	National Registrator
	Canada	Apple No. 3118317	of EP 644 75
	Desmerk	European Passas	National
		No. 644 755	Registration of EP 644 73
	Europe	Europese Fubrat No. 644 755	Control
	France	No. 644 785	National Registration of EP 644 75
	Germany	Peropeen Palant No. 644 735	National Registration
6.74	Greece	European Patent	of EP 644 75
		No. 644 755	National Registration of EP 644 75:
	Hungary	Pat./Apple. No. 54-3343	Produce
	Ireland	European Palent No. 644 735 Irish Palent No. 1/72603	Granted
	laly	Nuropean Faters! No. 644 733	Netional Registration of EP 644 755
•	Japan:	Apple, No. 94/501515	Pending
	Leasembury	European Patent	National
[hi		No. 644 755	Registration of RP 644 755
	Monaco	Ruspen Fatent No. 644 755	National Registration of EP 644 755
	Merico	Apple, No. 91-3452	Pending
. 18	Netherlands	Butopean Falant No. 644 755	National Registration
	Pertugal	European Patent No. 644 755	National Registration
	<del> </del>		of EP 644 755
	Spein	European Fabrid No. 644 755	National Registration of EP 644 755

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Pendia	g and Grante August 20, 19		
7 itle of invention	Country	Patent/Apple. No.	Status
Minished NSAID Nanoparticles	Sweden	No. 644 733	National Registration of EP 644 755
	Switzerland	European Palent No. 644 755	Graned
*	United Kingdom	European Patent No. 644 755	National Registration
Monoparticles Stabilities by High Monoparticles Stabilities by High Matter Weight, Linear Poly(ethylasie 1) Polymers	U.A.	Fatent No. 5,580,379	of kip 644 755 Ornand
settle Imaging X-Ley Control Agents	. U.S.	Palent No. 3,373,750	
and and Surfactions for Name courses	. U.S.	Patent No. 3,422,538	Granted
plotons of Oral Gastreinbertinal poetic X-Ray Contrast Agents in fination with Phermacontically the bis Clays	U.S.	Patent No. 3,466,448	Graphed (branked
A STATE OF THE STATE OF THE PARTY OF THE PAR	U.S.	Patent Na. 5,391,436	Granted
Missiste Esters Unefal as X-Ray	U.S.	Patent No. 5,570,136	Granted
Mile-(Alkanoy) Amino)-2,4,5- Makensyl Return Mileses Mathed of Grinding	U.S.	Falcat No. 3,503,518	Granted
pieceutical Substances	U.S.	Fateral No. 5,718,388	Granted ·
	Argentina	Appla. No. 331,937	Pending
	Canada	Apple. No. 2,190,134	Proding
	Taiwan ·	Apple. No. 84103642	Pending
<b>3</b>	Europe	Apple. No. 95919062.0	Pending
.#r	Israel	Apple, No. 11301	Pending
	Jopes	Apple No. 93/330317	Feeding
3	Maleysis Philippines	Apple. No. 71 3501375 Apple. No. 35/50374	Pending Pending
	Vaperneb	Apple, No. 0134-95	Produce
Moelkylphernel Esters as Novel X-Lay	U.S.	Apple. No. 08/677,708	Pending
EContract Agents	U.S.	Fatural No. 5,661,196	Grinted September 16, 1997
Species Copalymers and a Process for	U.M.	Apple. No. 08/834,819	Pending
	Arrestina	Apple: Na. 331,135	Pending
<b>**</b>	Casada	Apple, No. 1,287,589 Apple, No. 8400102792	Pending
	Talwas	Appln. No. 8400102792	Pending
	Busepe	Apple. No. 85904520A	Pendlag
	Imel	Apple. No. 94/01/31/36	Pending

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## SCHEDULE 1.1.c

-		11	Beanert	Portfelle of
Nabos	Astems r	D (STICK MY)	Links	
	Pendh	g and Gra	pted Pati	:AU
			1000	

	- 100 00		MARAGE
Title of Invention	Country	Palest/Appin. No.	Status
wither Countymers and a Process for	Etarop 1	National Phase of PCT/EP94/04261	Fendag
aring Them	Japan	National Phase of PCT/HP94/04261	Pending
	Malayala	App. No. Pl 9500052	Pending
	Uate	Anels, No. 951133	Pending
	Philippines	Arpha Na. S0830	Penting
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the Acquired Assets are subject, other than in the case of clauses (ii) and (iii) any conflict, breach, default, termination, cancellation, acceleration, loss, violation or Encumbrance which, individually or in the aggregate, would not have a Material Adverse Effect or materially impair or delay Seller's ability to perform its obligations hereunder.

- 4.4 Binding Effect. This Agreement constitutes a valid and legally binding obligation of Seller enforceable in accordance with its terms, subject to bankruptcy, insolvency, reorganization, moratorism and similar laws of general applicability relating to or affecting creditors' rights and to general equity principles.
- 4.5 Consents and Approvals: Except as required by the H-S-R Act, no consent, approval, waiver or authorization is required to be obtained by Seller from, and no notice or filing is required to be given by Seller to or made by Seller with, any Federal, state, local or other governmental authority or other Person in connection with the execution, delivery and performance by Seller of this Agreement other than those the failure of which to obtain, give or make would not have a Material Adverse Effect or materially impair or delay the ability of Seller to effect the Closing.
- owns or has the right to use all of the Intellectual Property included in the Acquired Assets. The Intellectual Property included in the Acquired Assets constitutes all of the Intellectual Property necessary to conduct the Business as currently conducted.

  Schedule 1.1(c) contains a worldwide list of all patents, trade names, trademarks and service marks, and applications for the foregoing owned or possessed by the Seller and true and complete copies of all such materials have been made available to Buyer. Seller has taken all action reasonably necessary to establish and protect its interest in and to the NanoSystems Intellectual Property. To the Knowledge of Seller, (i) Seller's products do not infringe on or otherwise violate the Intellectual Property of any other Porson, and (ii) no Person is challenging, infringing or otherwise violating the NanoSystems Intellectual Property. Except as set forth in the Comracts listed on Schedule 4.6(b), there is no limitation on Seller's ability or right to license any of the NanoSystems Intellectual

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Property to any Person. All issued patents and registered trademarks and service marks owned by Seller are recorded on the public record solely in the name of Seller.

4.7 Title to and Condition of Tangible Property. Seller has good title to, or a valid and binding leasehold interest in, the tangible property included in the Acquired Assets, free and clear of all Encumbrances, except (i) liens for Taxes, assessments and other governmental charges (a) not yet due and psyable or (b) being concested in good faith by appropriate proceedings and for which adequate reserves have been established, and (ii) Encumbrances which, individually or in the aggregate, would not have a Material Adverse Effect. Upon the consumutation of the transactions contemplated hereby, assuming Buyer is a bona fide purchaser for value with no knowledge of an adverse claim, Buyer will acquire good title to the tangible property included in the Acquired Assets, free and clear of all Encumbrances, except for the exceptions in clauses (i) and (ii) of this Section 4.7. The tangible property included in the Acquired Assets is in all material respects in good working condition, ordinary wear and tear excepted.

#### 4.8 Contracts.

- (a) Schedule 4.8(a) sets forth a list, as of the date beroof, of each Comract that is material to Seller. Each such Contract is a valid and hinding agreement of Seller or its Affiliates and is in full force and effect.
- (b) To the Knowledge of Seller, there has been no material breach or default under any Contract listed on Schedule 4.8(a) except for defaults that have been cured or waived and breaches and defaults which are not material. No event has occurred with respect to Seller which, with notice or lapse of time or both, would constitute a material breach, violation or default, or give rise to a right of termination, cancellation, foreclosure, imposition of a lien or penalty, prepayment or acceleration under any such Comract.
- (c) Seller is not a party to any Comman in any of the following categories:

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## ASSIGNMENTED PARTIEURA AL COMUNICATO DE TUBE A OFFICE DE L'AND PA COMO DE PORTE DE L'AND PARTE D

KNOW ALL PERSONS BY THESE PRESENTS that in connection with the Asset Purchase Agreement, dured as of August 21, 1998 (the "Agreement"), by end among Elen Corporation, plc, a public limited company organized under the laws of Ireland ("Buver"), NanoSystems L.L.C., a limited liability company organized under the laws of the State of Delaware ("Seller"), and Eastman Kodak Company, a New Jersey corporation (capitalized terms not defined herein shall have the meaning ascribed to them in the Agreement), Seller, for good and valuable consideration, receipt of which is hereby acknowledged, hereby ASSIGNS to Buyer all of Seller's right, title and interest in and to all Intellectual Property included in the Acquired Assets (other than the rights to develop and commercialize a nanoerystal version of Pacilians) in accordance with the terms of the Agreement.

IN WITNESS WHEREOF, Seller has caused this instrument to be executed by its duly authorized officer on the 1st day of October, 1998.

NANOSYSTEMS LL.C.

By: Particulate Prospects Corp., Member

Name: Akram Sandhu

Title: Vice President

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